

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WISCONSIN

SECURITIES AND EXCHANGE)
COMMISSION,)
Plaintiff) No. 20 Civ. 76
, v.) ECF Case
EDWARD S. WALCZAK,)
Defendant.)
)

DEFENDANT, EDWARD S. WALCZAK'S RULE 26(a)(3) DISCLOSURES

Defendant, Edward S. Walczak, respectfully submits the following disclosures in accordance with this Court's order and Rule 26(a)(3):

Rule 26(a)(3)(A)(i) – Witnesses

Defendant expects to call the following witnesses at trial:

Edward S. Walczak
c/o Zachary J. Ziliak
ZILIAK LAW LLC
141 W. Jackson Blvd., Suite 4048
Chicago, IL 60604

Kimberly Rios
c/o James C. Yong
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Michael Schoonover
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Jeremy O'Keefe
c/o Richard Reibman
Thompson Coburn LLP
55 E. Monroe
Chicago, IL 60603
312-580-2205

SEC Rule 30(b)(6) Witness – Topic: Interrogatory Answers
c/o Michael Foster
Securities and Exchange Commission
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fosterm@sec.gov

Michael DeLaval
c/o Zachary J. Ziliak

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Norman Harrison
c/o James L. Kopecky
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Daniel Saffrin
25 Ronan Road, Unit 205
Highwood, IL 60040

Defendant may call the following witnesses at trial:

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Evans Investment Advisors LLC
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225-761-7870

Paul Rieger
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Ralph Allen
HawkPeak
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Ada, MI 49301

August Ewald
(need contact information)

Defendant may call any witness identified by Plaintiff on its Rule 26(a)(3)(ii) witness list.

Rule 26(a)(3)(A)(ii) - Deposition Designations

Defendant does not expect to present any testimony by deposition.

Rule 26(a)(3)(A)(iii) - Exhibits

Defendant's exhibit list is being exchanged with Plaintiff's counsel separately pursuant to this Court's order.

Dated: January 28, 2022

Respectfully submitted,

By: /s/ James L. Kopecky
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CERTIFICATE OF SERVICE

The undersigned, an attorney, hereby certifies that he caused true and correct copies of the foregoing document to be served upon all counsel of record via email on January 28, 2022.

/s/ James L. Kopecky